



Receipt  
#4/CFR

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

: Toru TATSUMI, ET AL.  
Serial No : 09/744,701  
Filed : January 29, 2001  
Title : METHOD OF VAPOR PHASE GROWTH OF METAL OXIDE  
DIELECTRIC FILM AND APPARATUS FOR VAPOR PHASE  
GROWTH OF METAL OXIDE DIELECTRIC MATERIAL  
Group Art Unit : 2812

April 25, 2001

Attn: Customer Corrections Division  
Assistant Commissioner for Patents  
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

SIR:

We received the filing receipt on the above-referenced case, copy enclosed,  
wherein the **TITLE** was incorrectly listed as: **VAPOR GROWTH METHOD FOR METAL OXIDE  
DIELECTRIC FILM AND VAPOR GROWTH DEVICE FOR METAL OXIDE DIELECTRIC  
MATERIAL**. Please change the same to read: **METHOD OF VAPOR PHASE GROWTH OF METAL  
OXIDE DIELECTRIC FILM AND APPARATUS FOR VAPOR PHASE GROWTH OF METAL  
OXIDE DIELECTRIC MATERIAL**. Also the **TOTAL CLAIMS** were incorrectly listed as: 1. Please  
change the same to read: 71. Also the **INDEPENDENT CLAIMS** were incorrectly listed as: 1. Please  
change the same to read: 13.

Any fee due with this paper, not fully  
served by an enclosed check, may be  
charged on deposit Acct. No. 08-1634

Helfgott & Karas, P.C.  
60th Floor  
Empire State Building  
New York, New York 10118  
Tel. (212) 643-5000  
Docket No.: 18.281  
SH:ejv:FILREC

Respectfully submitted,

Aaron B. Karas  
Reg. No. 18,923

I HEREBY CERTIFY THAT THIS CORRESPONDENCE  
IS BEING DEPOSITED WITH THE UNITED STATES  
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ENVELOPE ADDRESSED TO: COMMISSIONER OF  
PATENTS AND TRADEMARKS, WASHINGTON, D.C.  
20231, ON THE DATE INDICATED BELOW.

BY

DATE

April 25, 2001



APR 09 2001

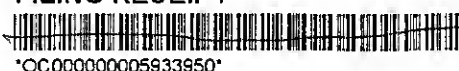
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	INO CLAIMS
09/744,701	01/29/2001	2812	2578	NECW 18.281	36	71	13

CONFIRMATION NO. 3273

## FILING RECEIPT



\*0000000005933950\*

Helfgott & Karas  
60th Floor  
Empire State Building  
New York, NY 10118-0110

Date Mailed: 04/04/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Toru Tatsumi, Tokyo, JAPAN;  
Atsushi Yamashita, Tokyo, JAPAN;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/JP99/04145 08/02/1999

## Foreign Applications

JAPAN 219183/1998 08/03/1998  
JAPAN 219184/1998 08/03/1998  
JAPAN 219187/1998 08/03/1998

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

Title



~~Vapor growth method for metal oxide dielectric film and vapor growth device for metal oxide dielectric material~~

Preliminary Class

438

METHOD OF VAPOR PHASE GROWTH OF METAL OXIDE  
DIELECTRIC FILM AND APPARATUS FOR VAPOR  
PHASE GROWTH OF METAL OXIDE DIELECTRIC  
MATERIAL

Data entry by : NGUYEN, SON

Team : OIPE

Date: 04/04/2001



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WASHINGTON, D.C. 20231  
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Bib Data Sheet

CONFIRMATION NO. 3273

<b>SERIAL NUMBER</b> 09/744,701	<b>FILING DATE</b> 01/29/2001 <b>RULE</b>	<b>CLASS</b> 438	<b>GROUP ART UNIT</b> 2812	<b>ATTORNEY DOCKET NO.</b> NECW 18.281
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## APPLICANTS

Toru Tatsumi, Tokyo, JAPAN;  
Atsushi Yamashita, Tokyo, JAPAN;

## \*\* CONTINUING DATA \*\*\*\*\*

THIS APPLICATION IS A 371 OF PCT/JP99/04145 08/02/1999

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

JAPAN 219183/1998 08/03/1998  
JAPAN 219184/1998 08/03/1998  
JAPAN 219187/1998 08/03/1998

## IF REQUIRED, FOREIGN FILING LICENSE GRANTED

\*\* 08/09/2001

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	<b>STATE OR COUNTRY</b> JAPAN	<b>SHEETS DRAWING</b> 36	<b>TOTAL CLAIMS</b> 71	<b>INDEPENDENT CLAIMS</b> 13
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

## ADDRESS

Helfgott & Karas  
60th Floor  
Empire State Building  
New York, NY 10118-0110

## TITLE

Method of vapor phase growth of metal oxide dielectric film and apparatus for vapor phase growth of metal dielectric material

<b>FILING FEE RECEIVED</b> 2578	<b>FEES:</b> Authority has been given in Paper No. _____ to charge/credit <b>DEPOSIT ACCOUNT</b> No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit